



Practice Areas

Corporate and Business Organizations
Labor and Employment

Education

New York University School of Law, LL.M., 2002
The Ohio State University Michael E. Moritz College of Law, J.D., With Honors, 2001
Kenyon College, B.A., 1998

Alan D. Duffy

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Mr. Duffy is an associate in the Vorys Columbus office and practices in the area of executive compensation.

Mr. Duffy has designed and drafted stock and non-stock compensation programs for a variety of public and private companies and tax-exempt organizations, including executive employment, change in control and severance agreements, short- and long-term incentive plans, deferred compensation plans and various other compensation programs. Mr. Duffy advises boards of directors, compensation committees, senior executives and legal departments in these and related matters.

Mr. Duffy is a frequent speaker on executive compensation topics and serves as an adjunct professor of law at Capital University School of Law. Mr. Duffy is a member of the American Bar Association and the National Association of Stock Plan Professionals.

Mr. Duffy received his LL.M. in Taxation from New York University, his J.D. with Honors from The Ohio State University Michael E. Moritz College of Law and his B.A. from Kenyon College.

Publications

“Ohio Tax Laws & Rules,” *Banks-Baldwin*, 2007

“IRS Rules No Private Benefit in Hospital-Sponsored Insurance Program,” *Exempt Organization Tax Review*, 2006

“Solving the Low-Income Housing Partnership Tax Dilemma,” *Exempt Organization Tax Review*, 2006

“New Law Spurs Employers to Review Deferred Compensation Plans,” *Midwest In-House*, 2005

Securities Alert: NYSE Eliminates Uninstructed Broker Voting on Certain Corporate Governance Issues

Client Alert: Fed Report Offers Insight into Compliance with Interagency Guidance on Sound Incentive Compensation Practices

Alan D. Duffy (Continued)

Client Alert: Proposed 162(m) Regulations Affect Deductibility of Equity-Based Compensation

Securities Alert: SEC Adopts Final Whistleblower Rules Under the Dodd-Frank Act

Client Alert: New Compensation Restrictions Coming for Colleges and Universities

Securities Alert: SEC Proposes Compensation Committee and Consultant Independence Rules

Honors and Awards

Ohio Super Lawyers Rising Stars, Employee Benefits/ERISA, 2009-2012

Events

2011 OBL/ILFI Joint Convention
ERISA Seminar

Bar and Court Admissions

New York
Ohio